

02/22/02

10079519, 022202

J1051 U.S. PTO

Docket No.: 1539.1019  
S&H Form: PTO/SB/05 (2/02)**UTILITY  
PATENT APPLICATION****TRANSMITTAL**

(Only for original applications)

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent  
application contents.

Attorney Docket No. 1539.1019

First Named Inventor or Application Identifier:  
Yasuhiro OMURA

Express Mail Label No.

ADDRESS TO:  
**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 84 ]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 10 ] [FIGS. 1-11 ]
4. ☒ Oath or Declaration .....[ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☒ Applicant claims foreign priority benefit to: Japanese Application 2001-049306 filed February 23, 2001 and  
Japanese Application 2001-254704 filed August 24, 2001
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☒ Assignment (cover sheet & document(s)) to Nikon Corporation of Tokyo, Japan  
☒ for publication of assignee information under 37 CFR 1.215(b)
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
17. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
18. ☐ Other:

**19. CORRESPONDENCE ADDRESS**

21171

PATENT TRADEMARK OFFICE

J1051 U.S. PTO  
10/079519  
02/22/02

02/22/02  
1051 U.S. PTO



Docket No.: 1539.1019  
S&H Form (2/02)

JC828 U.S. PTO  
10/079519  
02/22/02

|  |            |                      |                   |
|--|------------|----------------------|-------------------|
| <b>NEW APPLICATION<br/>FEE TRANSMITTAL</b> |            | Attorney Docket No.  | 1539.1019         |
|  |            | Application Number   |                   |
|  |            | Filing Date          | February 22, 2001 |
| AMOUNT ENCLOSED                            | \$ 1128.00 | First Named Inventor | Yasuhiro OMURA    |

| FEE CALCULATION (fees effective 10/01/01) |   |                     |                     |                                  |                  |
|---|---|---------------------|---------------------|----------------------------------|------------------|
| CLAIMS                                    | (1) FOR   | (2) NUMBER<br>FILED | (3) NUMBER<br>EXTRA | (4) RATE                         | (5) CALCULATIONS |
|   | TOTAL CLAIMS  | 30 - 20 =           | 10                  | X \$ 18.00 =                     | \$ 180.00        |
|   | INDEPENDENT<br>CLAIMS   | 5 - 3 =             | 2                   | X \$ 84.00 =                     | 168.00           |
|   | MULTIPLE DEPENDENT CLAIMS (any number;<br>if applicable)                            |                     |                     | + \$280.00 =                     |                  |
|   |   |                     |                     | BASIC FILING<br>FEE              | 740.00           |
|   |   |                     |                     | Total of above<br>Calculations = | \$ 1088.00       |
|   | Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f))<br>(\$130.00)   |                     |                     |                                  |                  |
|   | Reduction by 50% for filing by small entity (37 CFR 1.27).                          |                     |                     |                                  |                  |
|   |   |                     |                     | TOTAL FILING<br>FEE =            | \$ 1088.00       |
|   | Surcharge for filing non-English language application (\$130.00;<br>37 CFR 1.52(d)) |                     |                     |                                  |                  |
|   | Recordation of Assignment (\$40.00; 37 CFR 1.21(h))                                 |                     |                     |                                  |                  |
|   |   |                     |                     | TOTAL FEES<br>DUE =              | \$ 1128.00       |

|  |  |
|--|--|
| <b>METHOD OF PAYMENT</b>                   |  |
| <input checked="" type="checkbox"/>        | Check enclosed as payment.   |
| <input type="checkbox"/>                   | Charge "TOTAL FEES DUE" to the Deposit Account No. below.  |
| <input type="checkbox"/>                   | No payment is enclosed and no charges to the Deposit Account are authorized at this time<br>(unless specifically required to obtain a filing date).  |
| <b>GENERAL AUTHORIZATION</b>               |  |
| <input checked="" type="checkbox"/>        | If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby<br>authorized to credit any overpayment or charge any additional fees necessary to:  |
| Deposit Account No.                        | 19-3935  |
| Deposit Account Name                       | STAAS & HALSEY LLP   |
| <input checked="" type="checkbox"/>        | The Commissioner is also authorized to credit any overpayments or charge any additional fees<br>required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the<br>prosecution of this application, including any related application(s) claiming benefit hereof<br>pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or<br>continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any<br>such related application. |
| <b>SUBMITTED BY STAAS &amp; HALSEY LLP</b> |  |
| Typed Name                                 | David M. Pitcher   |
| Reg. No.                                   | 25,908   |
| Signature                                  | <i>David M. Pitcher</i>  |
| Date                                       | February 22, 2002  |

10079519 02/22/02